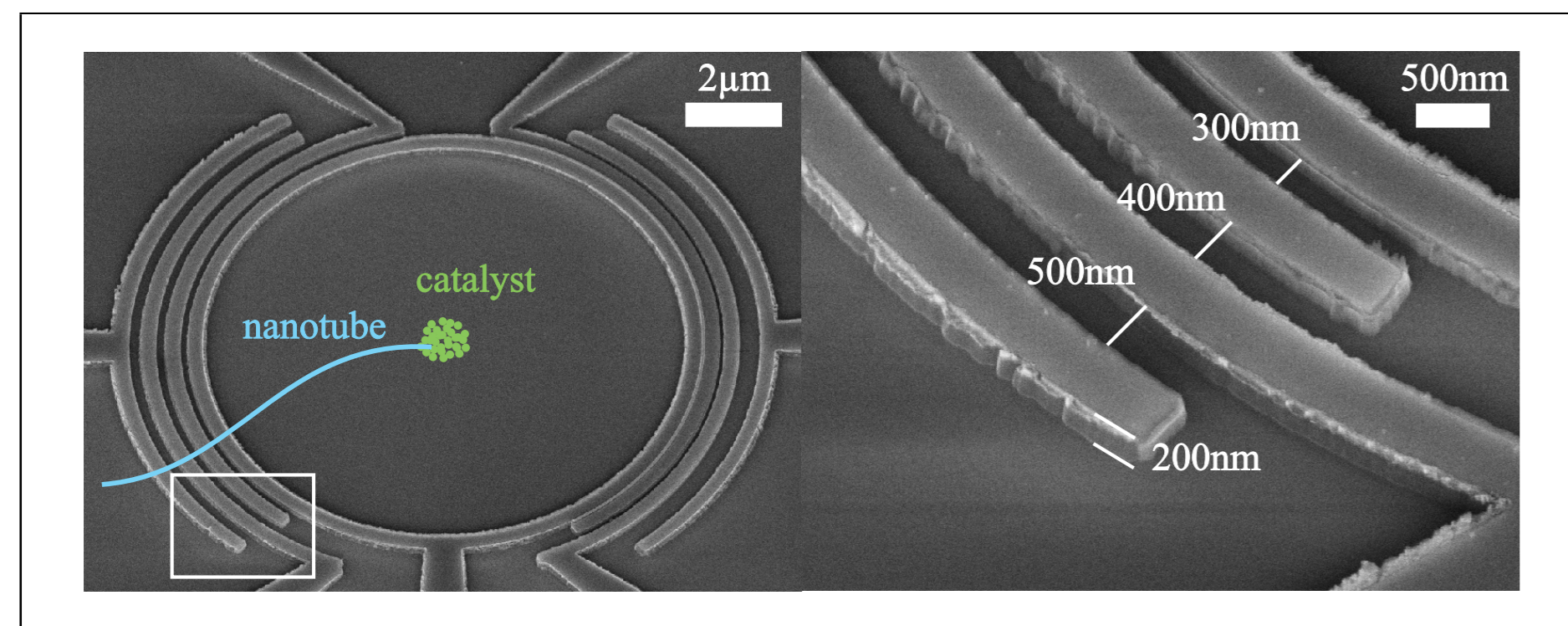


# Broken SU(4) symmetry in a Kondo-correlated carbon nanotube<sup>[1]</sup>

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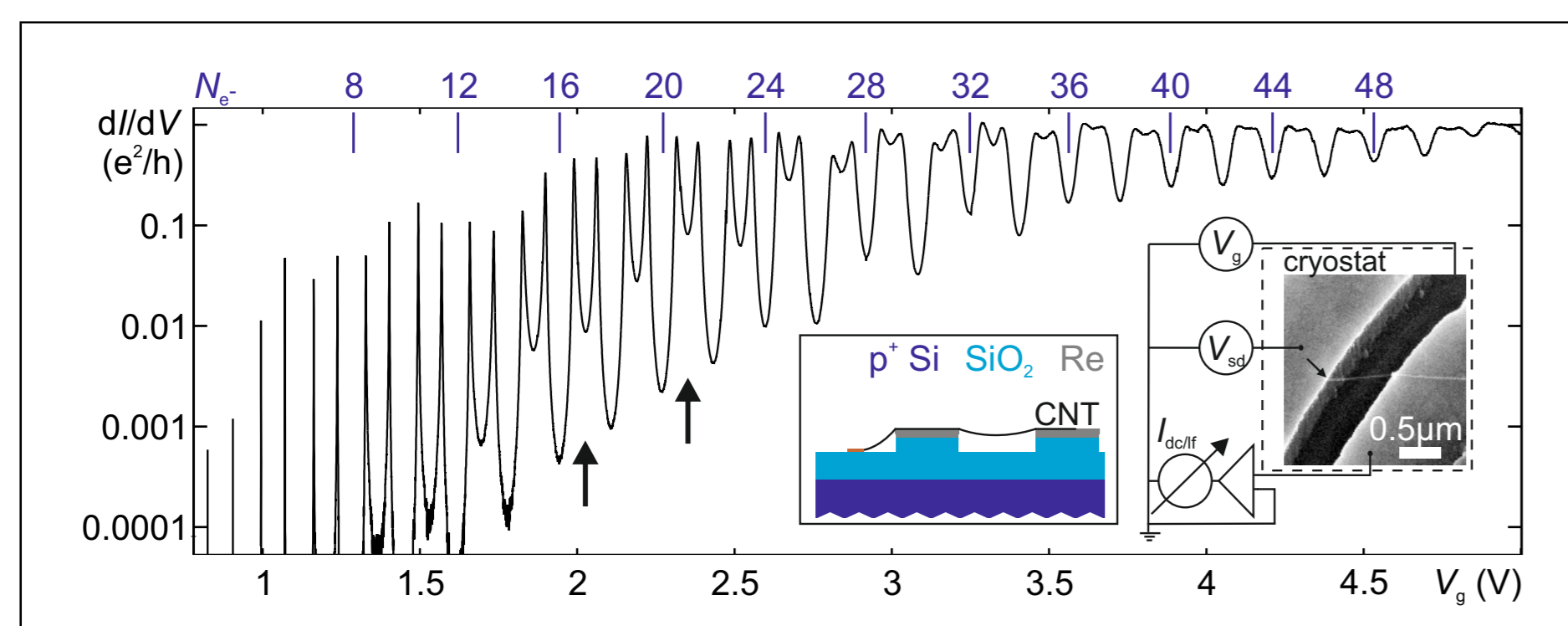


## Ultraclean carbon nanotubes



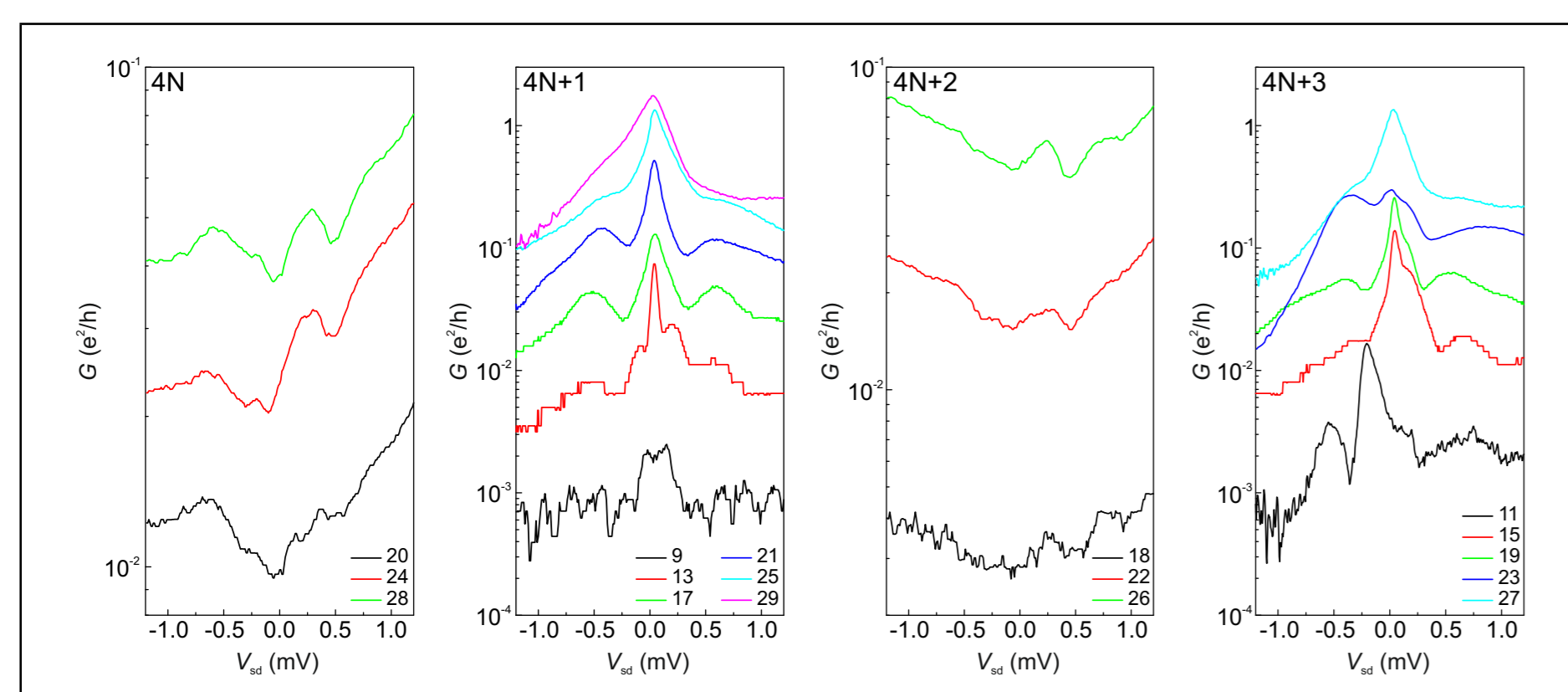
- first preparation of contacts, trenches, catalyst ...
- then growth of nanotubes across contacts
- no contamination / damage by later fabrication steps [2, 3, 4]

## Electronic characterization



- clean few-electron system, Coulomb blockade
- Kondo enhanced conductance in odd valleys [5]

## Non-equilibrium features

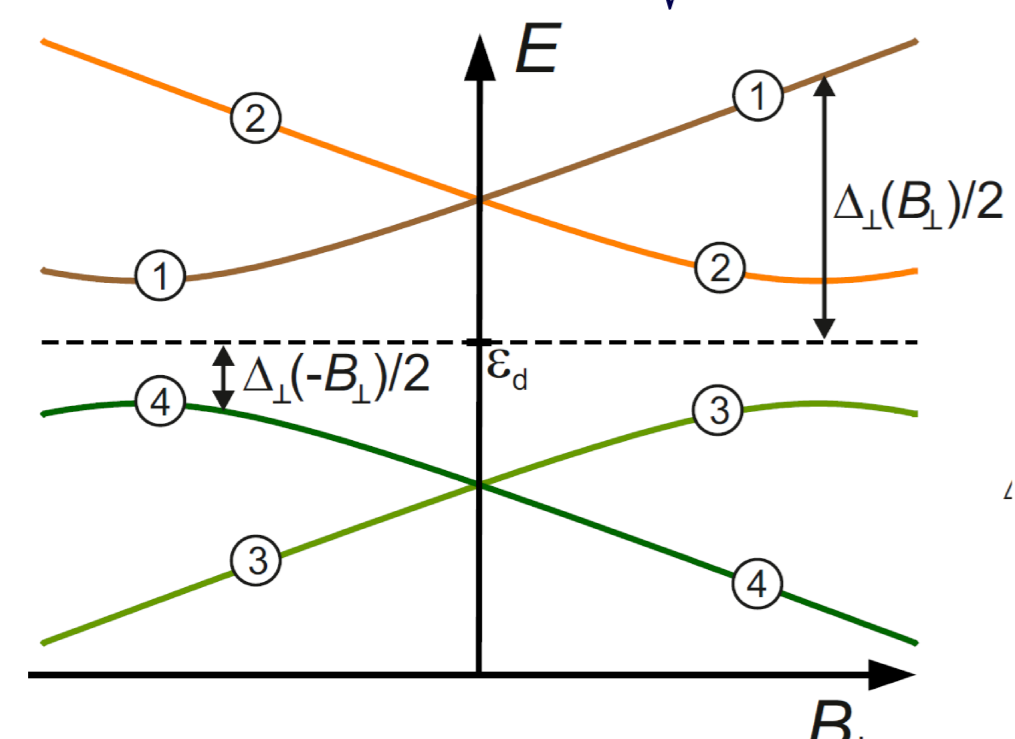


- clear four-fold periodicity in electron number  $N_{el}$
- zero-bias anomaly in odd- $N_{el}$  valleys [6, 7, 8]
- pronounced satellite peaks at finite  $V_{sd}$

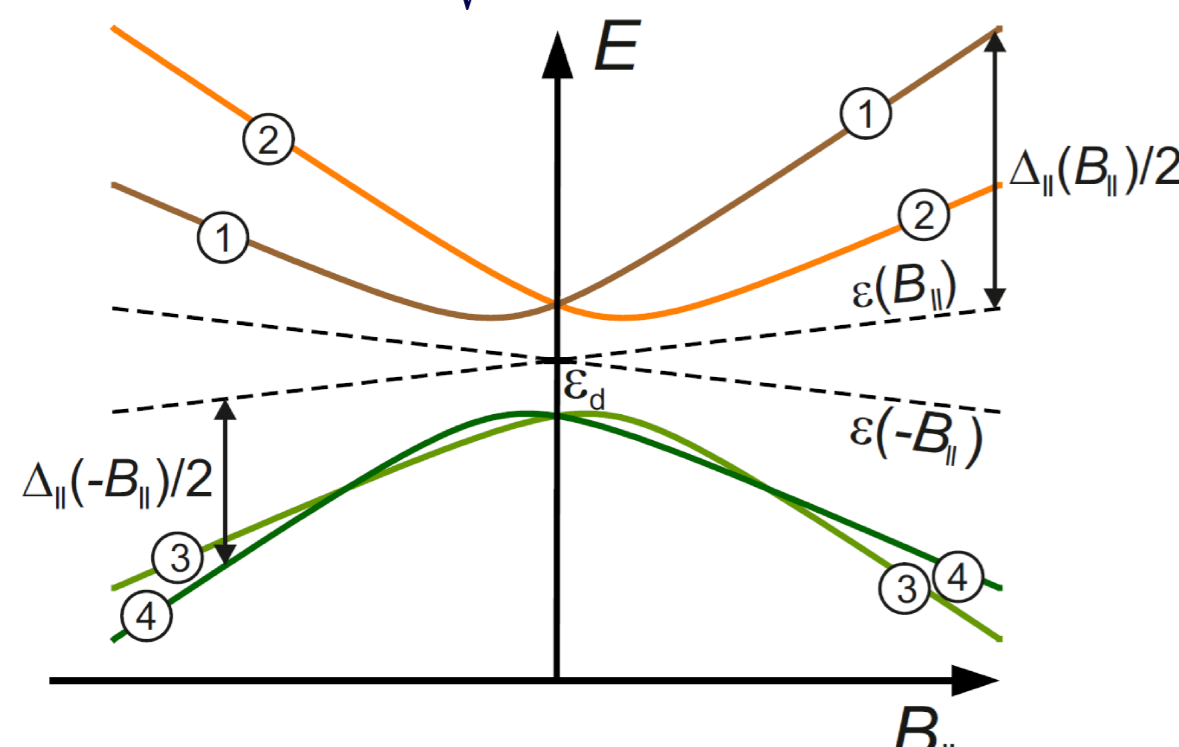
## Single-particle Hamiltonian [9]

$$\hat{H}_{CNT} = \varepsilon_d \hat{I}_\sigma \otimes \hat{I}_\tau + \frac{\Delta_{KK'}}{2} \hat{I}_\sigma \otimes \hat{t}_z + \frac{\Delta_{SO}}{2} \hat{\sigma}_z \otimes \hat{t}_x + \frac{1}{2} g_s \mu_B |\vec{B}| (\cos \varphi \hat{\sigma}_z + \sin \varphi \hat{\sigma}_x) \otimes \hat{I}_\tau + g_{orb} \mu_B |\vec{B}| \cos \varphi \hat{I}_\sigma \otimes \hat{t}_x$$

- perpendicular field ( $\Delta_\perp(B_\perp) = \sqrt{\Delta_{SO}^2 + (\Delta_{KK'} + g_s \mu_B B_\perp)^2}$ ):

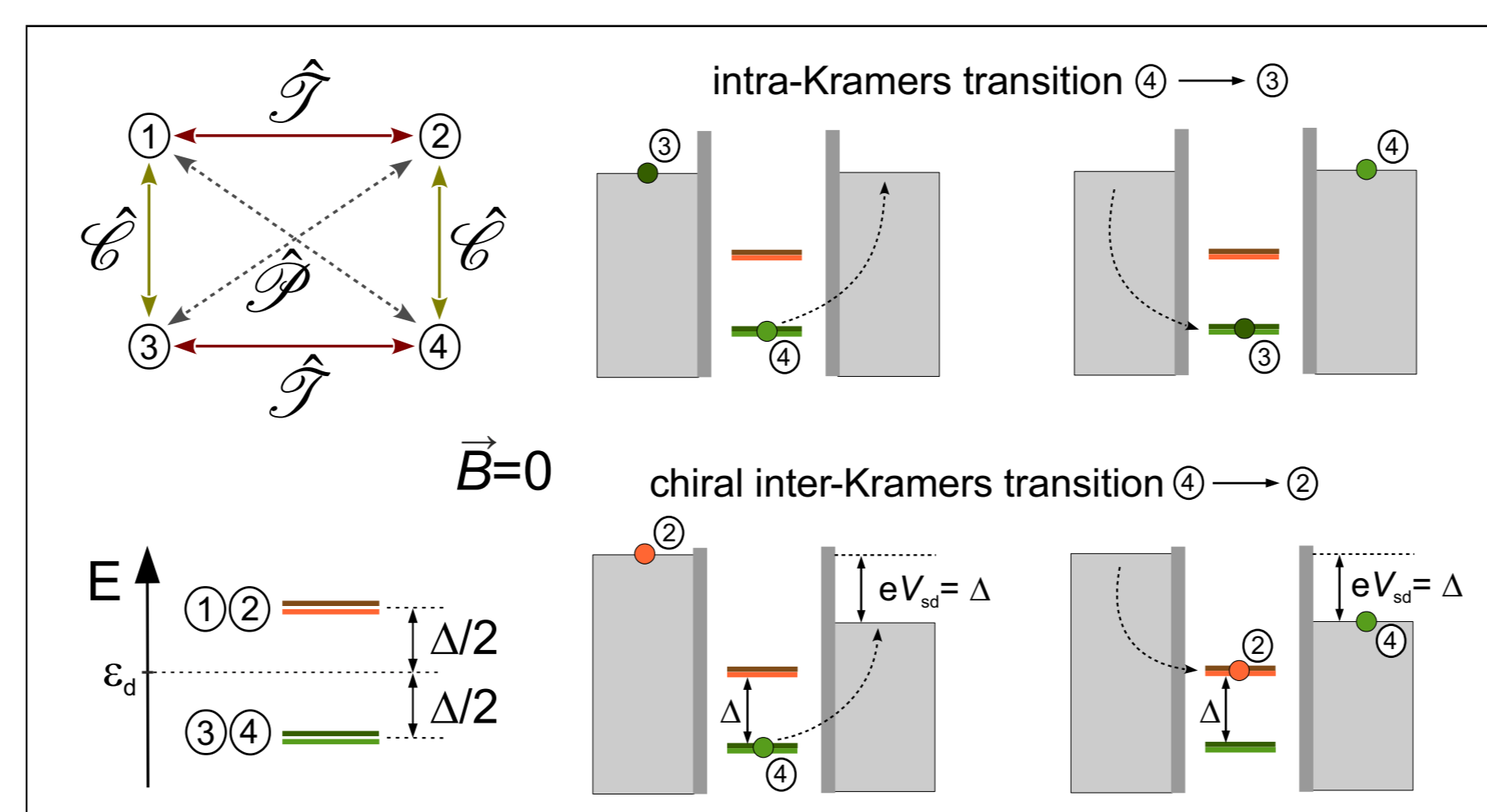


- parallel field ( $\Delta_\parallel(B_\parallel) = \sqrt{\Delta_{KK'}^2 + (\Delta_{SO} + 2g_{orb} \mu_B B_\parallel)^2}$ ):



## Conjugation relations

- zero field  
– time-reversal  
 $\hat{\mathcal{T}} = -i\hat{\sigma}_y \otimes \hat{t}_z \kappa, [\hat{\mathcal{T}}, \hat{H}_{CNT}^{(0)}] = 0$
- particle-hole  
 $\hat{\mathcal{P}} = \hat{\sigma}_z \otimes (-i\hat{t}_y) \kappa, \{\hat{\mathcal{P}}, (\hat{H}_{CNT}^{(0)} - \varepsilon_d \hat{I}_\sigma \otimes \hat{I}_\tau)\} = 0$
- chiral  
 $\hat{\mathcal{C}} = \hat{\mathcal{P}} \hat{\mathcal{T}}^{-1} = \hat{\sigma}_x \otimes \hat{t}_x, \{\hat{\mathcal{C}}, (\hat{H}_{CNT}^{(0)} - \varepsilon_d \hat{I}_\sigma \otimes \hat{I}_\tau)\} = 0$
- $\hat{\mathcal{T}}, \hat{\mathcal{P}}, \hat{\mathcal{C}}$  conjugate eigenstates in quadruplet



- perpendicular field ( $\hat{H}_\perp(B_\perp) = \frac{1}{2} g_s \mu_B B_\perp \hat{\sigma}_x \otimes \hat{I}_z$ )  
– time-reversal: broken  
– particle-hole  
 $\{\hat{\mathcal{P}}, (\hat{H}_{CNT} - \varepsilon_d \hat{I}_\sigma \otimes \hat{I}_\tau)\} = 0$   
– chiral: broken
- parallel field ( $\hat{H}_\parallel(B_\parallel) = g_{orb} \mu_B B_\parallel \hat{I}_\sigma \otimes \hat{t}_x + \frac{1}{2} g_s \mu_B B_\parallel \hat{\sigma}_z \otimes \hat{I}_\tau$ )  
– time-reversal: broken  
– particle-hole  
 $\{\hat{\mathcal{P}}, (\hat{H}_{CNT} - \varepsilon_d \hat{I}_\sigma \otimes \hat{I}_\tau - \frac{1}{2} g_s \mu_B B_\parallel \hat{\sigma}_z \otimes \hat{I}_\tau)\} = 0$   
– chiral: broken

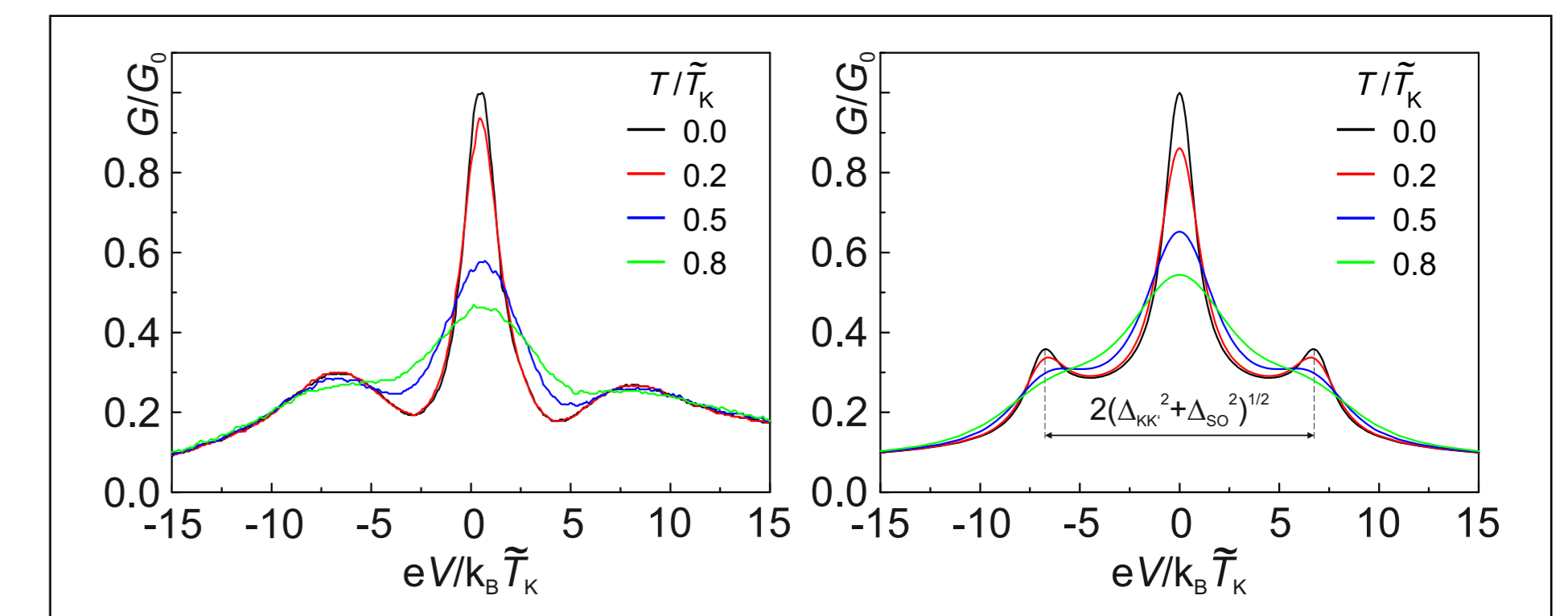
## Keldysh effective action theory

- observables expressed as field integral over the Keldysh effective action [10]
- see [11] for the SU(2) case of the theory
- here, (broken) SU(4): construct Keldysh effective action such that conjugation relations are fulfilled
- many-body tunneling density of states  $\nu_j(\varepsilon, \vec{B})$  (which leads us to the differential conductance) then follows analogous relations
- example:  
 $\hat{\mathcal{T}}|1, \vec{B}\rangle = |2, -\vec{B}\rangle \rightarrow \nu_1(\varepsilon, B_\parallel) = \nu_2(\varepsilon, -B_\parallel)$
- Kondo processes must “flip a spin”, always initial  $\neq$  final state
- $\hat{\mathcal{P}}$  is preserved  $\rightarrow$  no transitions between  $\hat{\mathcal{P}}$ -conjugated states either

## References

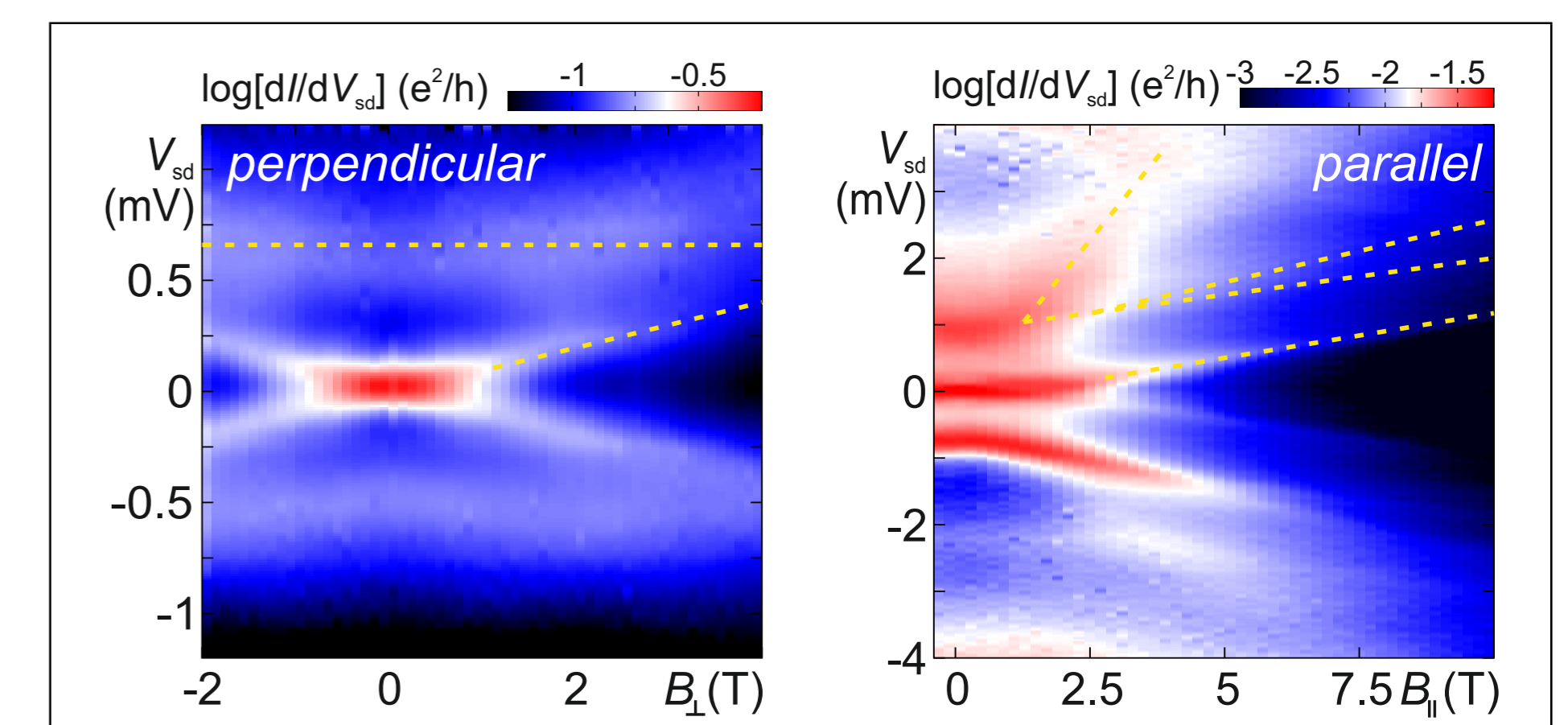
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## Temperature dependence

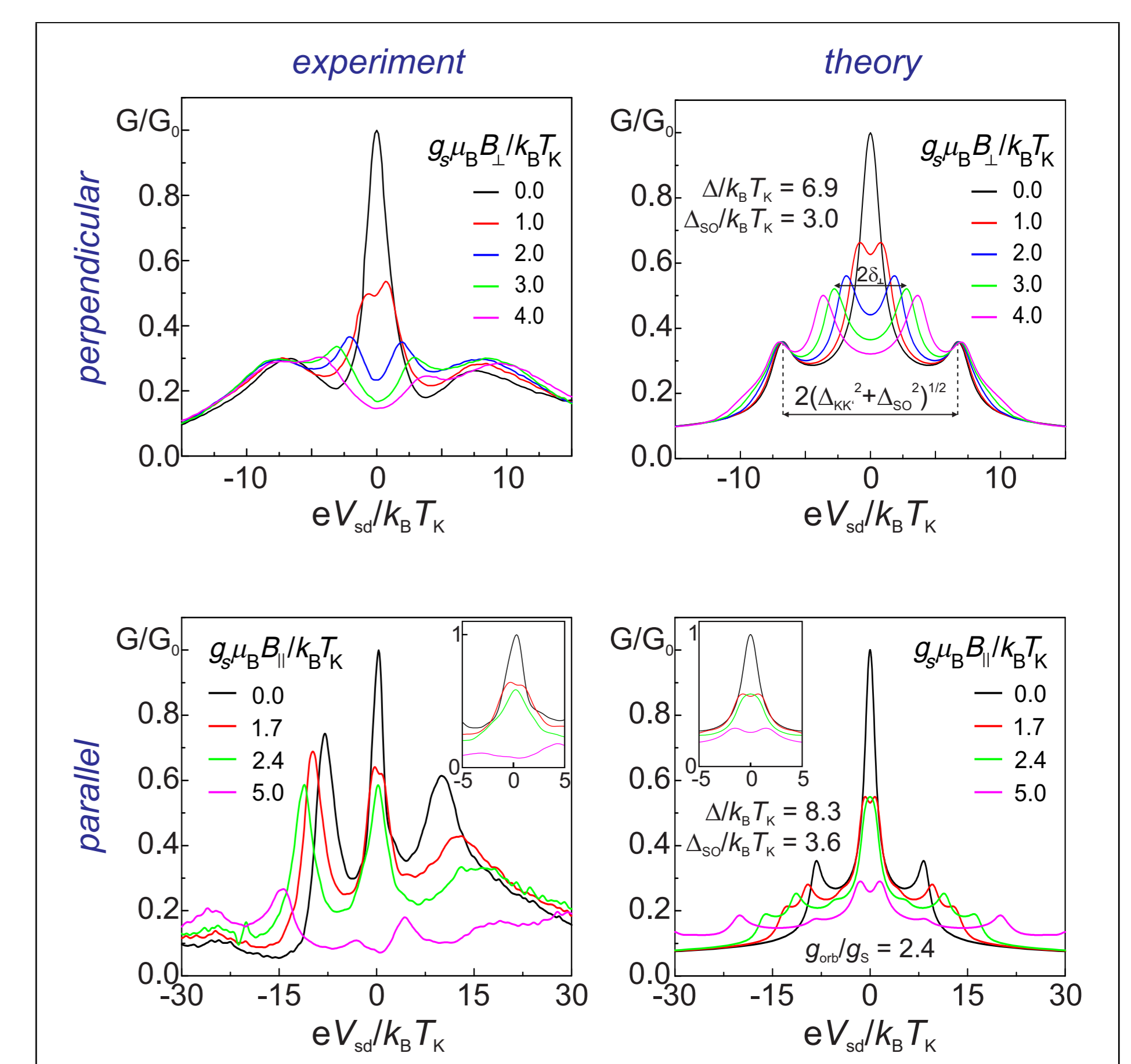


- good qualitative agreement theory - experiment

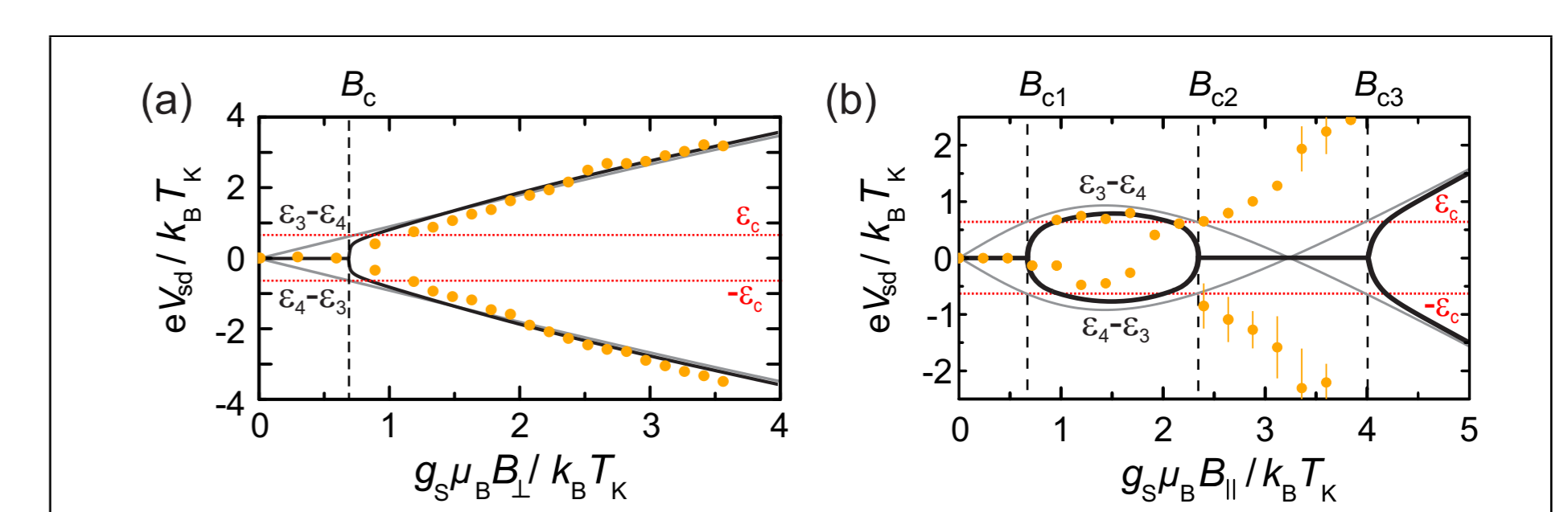
## Magnetic field dependence



- field perpendicular to nanotube axis:  
– central peak Zeeman splits with  $g = 1.9$   
– satellite peaks are not affected
- field parallel to nanotube axis:  
– much richer peak structure  
– side peaks also move and split
- identification of lines possible from single-particle Hamiltonian
- transitions involving pairs of  $\hat{\mathcal{P}}$ -conjugated states indeed not visible, consistent with theory



## Split in the magnetic field



- threshold behaviour of peak splitting
- consistently modeled by theory
- parallel magnetic field  $\rightarrow$  level crossing, three critical field values